

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Fabrizius et al.

Date:

April 28, 2004

Serial No.:

10/768,378

Group Art Unit:

Filed:

January 30, 2004

Examiner:

For:

Soybean Variety XB16Q04

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97(b) in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. A copy of each cited reference is enclosed, except for those references which are already part of the filewrapper. This statement is being filed within the time period specified in 37 C.F.R. §1.97(b). No fee is required.

Respectfully submitted,

Steven Callistein

Agent for Applicant(s)

Registration No. 43,525

PIONEER HI-BRED INTERNATIONAL, INC. Corporate Intellectual Property 7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000 Phone: (515) 254-2823

Phone: (515) 254-2823 Facsimile: (515) 334-6883

CIPE
APR 3 0 2004
Form PTO-14349

Form PTO-1430

U.S. Department of Commerce Patent and Trademark Office ATTORNEY DOCKET NO.

SERIAL NO.

Patent and Trademark Office

1798 APPLICANT 10/768,378

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

Fabrizius et al.

FILING DATE

GROUP

(Use several sheets if necessary)

January 30, 2004

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	5,952,550	9/14/99	Soper et al.			1/30/1998
	A2	5,977,445	11/2/99	Soper et al.			6/30/1998

FOREIGN PATENT DOCUMENTS

						Trans	lation
	Document Number	Date	Country	Class	Subclass	Yes	No

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

A3	Plant Variety Protection Certificate No. 9900098 for Soybean 91B52, issued October 27,2000
A4	Plant Variety Protection Certificate No. 9900091 for Soybean 91B64, issued February 5, 2001

U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	U.S. Patent Application Serial Number	Filing Date of Cited Application MM-DD-YYYY	Name of Applicant of Cited Application
	A5	10/356,081	1/31/2003	Fabrizius et al. (DO NOT PUBLISH)
	A6	10/355,693	1/31/2003	Fabrizius et al. (DO NOT PUBLISH)
_	A7	10/355,745	1/31/2003	Fabrizius et al. (DO NOT PUBLISH)
EXAMINER	₹			DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

APR 3 0 2004 W

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date

Signature

Steven Callistein

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- 1. Filing Of An Information Disclosure Statement Under 37 C.F.R.§1.97(b) (1 copy)
- 2. Form PTO-1449 Information Disclosure Statement By Applicant (1 copy)
- 3. 5 References (1 copy of each)
- 4. Postcard

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.